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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO
09/763,308	02/20/2001	Per-Ola Arvidsson	06275-228001	5091
26161	7590 10/19/2004		EXAMINER	
FISH & RICHARDSON PC 225 FRANKLIN ST			EPPERSON, JON D	
BOSTON, N			ART UNIT	PAPER NUMBER
			1639	
			DATE MAILED: 10/19/200-	4

Please find below and/or attached an Office communication concerning this application or proceeding.

## **Advisory Action**

Application No.	Applicant(s)	
09/763,308	ARVIDSSON ET AL.	
Examiner	Art Unit	-
Jon D Epperson	1639	

THE REPLY FILED 30 August 2004 FAILS TO PLACE THIS APPLICATION IN CONDITION FOR ALLOWANCE. Therefore, further action by the applicant is required to avoid abandonment of this application. A proper reply to a

final rejection under 37 CFR 1.113 may <u>only</u> be either: (1) a timely filed amendment which places the application in condition for allowance; (2) a timely filed Notice of Appeal (with appeal fee); or (3) a timely filed Request for Continued Examination (RCE) in compliance with 37 CFR 1.114.
PERIOD FOR REPLY [check either a) or b)]
a) The period for reply expires <u>4</u> months from the mailing date of the final rejection.
b) The period for reply expires on: (1) the mailing date of this Advisory Action, or (2) the date set forth in the final rejection, whichever is later. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of the final rejection.  ONLY CHECK THIS BOX WHEN THE FIRST REPLY WAS FILED WITHIN TWO MONTHS OF THE FINAL REJECTION. See MPEP 706.07(f).
Extensions of time may be obtained under 37 CFR 1.136(a). The date on which the petition under 37 CFR 1.136(a) and the appropriate extension fee have been filed is the date for purposes of determining the period of extension and the corresponding amount of the fee. The appropriate extension fee under 37 CFR 1.17(a) is calculated from: (1) the expiration date of the shortened statutory period for reply originally set in the final Office action; or (2) as set forth in (b) above, if checked. Any reply received by the Office later than three months after the mailing date of the final rejection, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).
A Notice of Appeal was filed on Appellant's Brief must be filed within the period set forth in 37 CFR 1.192(a), or any extension thereof (37 CFR 1.191(d)), to avoid dismissal of the appeal.
2. The proposed amendment(s) will not be entered because:
(a) ☑ they raise new issues that would require further consideration and/or search (see NOTE below);
(b) ⊠ they raise the issue of new matter (see Note below);
(c) X they are not deemed to place the application in better form for appeal by materially reducing or simplifying the issues for appeal; and/or
<ul><li>(d) ☐ they present additional claims without canceling a corresponding number of finally rejected claims.</li><li>NOTE:</li></ul>
3. Applicant's reply has overcome the following rejection(s):
4. Newly proposed or amended claim(s) would be allowable if submitted in a separate, timely filed amendment canceling the non-allowable claim(s).
5. The a) affidavit, b) exhibit, or c) request for reconsideration has been considered but does NOT place the application in condition for allowance because:
6. The affidavit or exhibit will NOT be considered because it is not directed SOLELY to issues which were newly raised by the Examiner in the final rejection.
7.⊠ For purposes of Appeal, the proposed amendment(s) a)⊠ will not be entered or b)□ will be entered and an explanation of how the new or amended claims would be rejected is provided below or appended.
The status of the claim(s) is (or will be) as follows:
Claim(s) allowed:
Claim(s) objected to:
Claim(s) rejected: <u>1-11</u> .
Claim(s) withdrawn from consideration: 12-14.
3. $\square$ The drawing correction filed on is a) $\square$ approved or b) $\square$ disapproved by the Examiner.
9. Note the attached Information Disclosure Statement(s)( PTO-1449) Paper No(s)
0.⊠ Other: Please see attached sheet

<sup>--</sup> The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

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The After-Final amendment is denied entry for the following reasons:

1. Claim 1 (currently amended) inserts new claim limitations (e.g., "pharmaceutical compounds"), which introduces new search and consideration and the issue of new matter. In addition, the amendment does not immediately place the case in condition for allowance because (1) it does not address the issue of enablement wherein the claimed pharmaceutical compounds of less than 1000 Daltons do not bind to the cyclodextrins, (2) does not overcome the Henco reference in the absence of a certified copy of the priority documents and (3) does not distinguish over the Szente et al. and Tabushi et al. rejections under 35 U.S.C. 103(a) because those references and/or the prior art admissions in the specification teach pharmaceutical compounds of molecular weight of less than 1000 Daltons.

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2. There is no reason given for why the amendment was not earlier presented.

## **Contact Information**

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Jon D Epperson whose telephone number is (571) 272-0808. The examiner can normally be reached Monday-Friday from 9:00 to 5:30.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Andrew Wang can be reached on (571) 272-0811. The fax phone number for the organization where this application or proceeding is assigned is (703) 872-9306.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 703-308-1235.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

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Jon D. Epperson, Ph.D. October 12, 2004

BENNETT CELSA PRIMARY EXAMINER